



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re the Application of:

Group Art Unit: 1644

Applicant: Daniel P. Gold

Examiner: Amy M. Decloux

TECH CENTER 1600/2900

Serial No.: 09/927,122

Filed: August 10, 2001

**For: METHOD AND COMPOSITION
FOR ALTERING A T CELL MEDIATED
PATHOLOGY**

p#11

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are the following documents:

- Supplemental Information Disclosure Statement;
- Form PTO/SB/08A;
- Copies of cited references;
- Copy of International Search Report; and
- Return Postcard.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Oct. 2, 2002
Date of Deposit

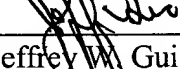
Megan Massey
Name of Person Mailing Paper
Megan Massey
Signature of Person Mailing Paper

No fee is believed due with this submission; however, the Commissioner is authorized to charge any fee required, or to credit any overpayment, to our Deposit Account No. **50-1273**.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: 10/2/02

By: 
Jeffrey W. Guise
Reg. No. 34,613

DCM:mam

BROBECK, PHLEGER & HARRISON LLP

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San Diego, CA 92130-2081
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Patent
032077.0002.UTL1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Daniel P. Gold

Serial No.: 09/921,122

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the Applicants' duty under 37 CFR 1.56, the following information is brought to the attention of the Examiner. The item is listed on the attached Form PTO/SB/08A and a copy is enclosed for the convenience of the Examiner. Applicants respectfully request that the document be made of record in the above-referenced application.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Megan Massey

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Date of Deposit

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Megan Massey
Signature of Person Mailing Paper

Signature of Person Mailing Paper


The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicants as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

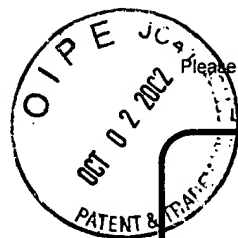
The attached Information Disclosure Statement is being filed in accordance with 37 CFR 1.97 and 1.98. This Information Disclosure Statement is believed to be timely in that it is being submitted under 37 CFR 1.97(b)(3), that is before the mailing of a first Office Action on the merits. Thus, no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this Information Disclosure Statement as filed under 1.97(c) with the following statement under 1.97(e)(1): That each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Dated: 10/2/02

By: 
Jeffrey W. Guise
Reg No. 34,613

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PTO/SB/08A (08-00)
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| Substitute for form 1449A/PTO | | Complete if Known | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 032077.0002.UTL1 | | |
| | | Filing Date | August 10, 2001 | | |
| | | First Named Inventor | Gold, Daniel P. | | |
| | | Group Art Unit | 1644 | | |
| | | Examiner Name | Amy M. Decloux | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 032077.0002.UTL1 |

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| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | | Number | Kind Code ² (if known) | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | AA | WO | 99/18129 | | Weidanz, J., et al. | 04-15-1999 | |
| | AB | WO | 01/93913 | | Weidanz, J., et al. | 12-13-2001 | |
| | AC | WO | 00/31239 | | Bolhuis, R. | 06-02-2000 | |
| | | | | | | | |

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| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | AD | Jesson, M., et al., "The Immune Response to Soluble D10 TCR: Analysis of Antibody and T Cell Responses," <i>International Immunology</i> , 1(10), pg. 27-35 (1998) | |
| | AE | Jesson, M., et al., "Immunogenicity of Recombinant, Soluble Cell Receptors in Syngeneic Mice," <i>International Congress of Immunology Abstracts</i> , pg. 442 (1995) | |
| | AF | Wong, C., et al., "Recombinant Adenovirus Vaccine Encoding a Chimeric T-Cell Antigen Receptor Induces Protective Immunity Against a T-Cell Lymphoma," <i>Cancer Research</i> , 10 (60), pg. 2689-2695 (2000) | |
| | AG | Gascoigne, N., et al., "Secretion of a Chimeric T-Cell Receptor-Immunoglobulin Protein," <i>Proceedings of the National Academy of Sciences of USA</i> , 84 (9), pg. 2936-2940 (1987) | |
| | AH | Vandenbark, A., et al., "T-Cell Receptor Peptides in Treatment of Autoimmune Disease: Rationale and Potential," <i>Journal of Neuroscience Research</i> , 43 (4), pg. 391-402 (1996) | |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.